



Kentucky Retirement Systems

APPLICATION FOR TRUSTEE

KENTUCKY RETIREMENT SYSTEMS

Perimeter Park West • 1260 Louisville Rd. • Frankfort KY 40601-6124

Phone: (502) 696-8800 • Fax: (502) 696-8801 • kyret.ky.gov

Deaf/Hard of Hearing TTY (502) 564-4306

Rec.
5-15-13
8:30am
CERS ✓

Application for Trustee

Information provided on this form will be used in your biography for the ballot if you are selected as a candidate.

Trustee Information

Social Security No.

Today's Date

May 13, 2013

(mm/dd/yyyy)

Home Phone No.

Date of Birth

(for identification purposes only)

Work Phone No.

(mm/dd/yyyy)

MARSHALL

Last Name

DOUGLAS

First Name

VAUGHAN

Middle Name

Other Name (if any)

Address (Street, R.F.D. or Box No.)

NICHOLASVILLE

City

KY

State

Zip Code

JESSAMINE

County

E-mail Address (if available)

Background

☐ Yes ☒ No

Have you ever been convicted of or plead guilty to a felony? If yes, list offense(s), date(s) of offense(s), date(s) of conviction(s) or plea(s), and jurisdiction(s) in which the offense(s) occurred. Conviction is not an automatic rejection of application.

Conflict of Interest

☒ Yes ☐ No

Are you a constitutionally eligible individual, which means a prospective candidate who does not have a conflict of interest on the basis of holding a constitutionally elected or appointed position pursuant to KRS 61.645 and Kentucky Constitution Section 165? If no, please list any elected or appointed position you currently hold that you believe may be constitutionally incompatible with serving as a trustee.

☐ Yes ☒ No

Do you or any member(s) of your immediate family own a controlling interest in an entity that does business or might seek to do business with KRS? If yes, please list below.

☐ Yes ☒ No

Do you or any member(s) of your immediate family serve in a leadership or fiduciary capacity with an entity that does business or might seek to do business with KRS? If yes, please list below.

☐ Yes ☒ No

Do you have any other actual or potential conflicts of interest that may hinder or prevent you from serving as a Trustee? If yes, please list below.

Name: DOUGLAS V. MARSHALL Social Security No. Date MAY 13, 2013

Education/Training: Complete accurately and provide highest grade or year completed at all levels of school below.

School	Name and Address of School	Dates Attended		Date of Graduation	Number of Hours		Fields of Study		Degree, Diploma, or Certificate Earned
		To	From		Earned	Now Carrying	Major	Minor	
High School	GEORGETOWN HIGH GEORGETOWN, Ky	1964	1967	1967					Diploma: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No or GED: <input type="checkbox"/> Yes <input type="checkbox"/> No
Under Graduate College or University	GEORGETOWN COLLEGE GEORGETOWN, Ky	1967	1971	1971			ACCOUNTING	MATH	B.A.
Graduate College or University									
Vocational, Business, Technical									
Apprenticeship				Length of Program: 1 2 3 4 5	Journeyman: <input type="checkbox"/> Yes <input type="checkbox"/> No				

Please indicate if college hours are semester or quarter OR * indicate number of vocational / technical school clock hours.

Employment History

If you need additional space to list employment, please print additional pages.

A. Employed From 12/1/75 To 6/30/04
(mm/dd/yyyy) (mm/dd/yyyy)

Title of Position DIRECTOR OF BUDGET & STAFFING

Name of Employer FAYETTE COUNTY PUBLIC SCHOOLS

City LEXINGTON State Ky

Type of Business FINANCIAL SERVICES for LOCAL PUBLIC SCHOOL SYSTEM

B. Employed From 1/10/73 To 11/30/75
(mm/dd/yyyy) (mm/dd/yyyy)

Title of Position SENIOR AUDITOR

Name of Employer POTTER & CO. CPA

City LEXINGTON State Ky

Type of Business AUDITING & TAX

C. Employed From 5/21/71 To 1/9/73
(mm/dd/yyyy) (mm/dd/yyyy)

Title of Position STAFF ACCOUNTANT

Name of Employer KENTUCKY CENTRAL LIFE INSURANCE

City LEXINGTON State Ky

Type of Business LIFE INSURANCE COMPANY

RECOMMENDED BY LOCAL

License or Certification Title & Number	Original Issue Date	Current Expiration Date	Name, Address & Phone of Licensing Agency

ORGANIZATION	TITLE	DATE MEMBERSHIP EXPIRES
JESS. CO. CONSERVATION DISTRICT	SUPERVISOR	DECEMBER 31, 2016

This image shows a single sheet of white paper with horizontal blue or grey ruling lines. The lines are evenly spaced and run across the width of the page. There are approximately 20 lines visible. The paper appears to be a standard notebook page or a sheet of stationery. There is no handwriting or other markings on the page.

Date May 13, 2013 Signature X

Douglas V. Marshall

Nicholasville, KY

OBJECTIVE

I am interested in serving as a trustee for the County Employees Retirement System. For twenty-eight years I was employed by the Fayette County Public School system in various financial positions, thus I have a vested interest in maintaining the strength and integrity of our retirement system.

WORK HISTORY

December 1975 to June 2004: Fayette County Public Schools, 701 East Main Street, Lexington, Kentucky.

Fayette County is the second largest public school system in the state of Kentucky with, at that time, 33,000+ students, 6,300 employees and a budget of \$265,000,000. I was the Director of Budget and Staffing, which required establishing the entire budget and included approval of allocations of funds for all employees of the school district. I was responsible for reporting to the Board of Education on the budget, obtaining their approval, and submitting the required data to Kentucky Department of Education.

I was Director of Budget and Staffing for nine years and prior to this position was Director of Accounting and Budgetary Control for ten years. In this position I was responsible for the financial reporting and processing data for the school district. This included the areas of payroll, accounts payable, insurance and benefits, and accounting for federal programs. In the payroll section we paid 6,300 employees bi-weekly for an annual cost of \$160,000,000, and in the accounts payable section we paid 1,000+ vendors semi-monthly for an annual cost of \$85,000,000. We have about fifty various federal and state grants which require monthly reporting. It was during this time of employment that I, along with the head programmer, developed an in-house computer program for the school systems' unique payroll.

My first position with the Fayette County Public School system was as the Accounting Supervisor. In this position I was responsible for the daily supervision of the payroll and insurance sections. I supervised ten individuals and was responsible for preparing quarterly and annual reports for the IRS, Social Security Administration, annual retirement reports, and reconciling the various bank accounts.

January 1973 to December 1975: Potter and Company, Lexington, Kentucky.

This was the largest local Certified Public Accounting firm in Kentucky at the time of my employment. The Company is a member of the A.A.F.I. Association.

I was a staff senior auditor and my responsibilities included auditing financial statements and preparing tax returns for colleges, construction companies, libraries, banks, horse farms and non-profit organizations. The work included travel in many instances throughout Kentucky and supervising the duties of many junior auditors.

May 1971 to January 1973: Kentucky Central Life Insurance Company, Lexington, Kentucky.

This was one of the largest insurance companies in the United States at the time of my employment.

I was the General Accounting Supervisor and responsible for supervising five individuals who maintained the computer printout of the trial balance and transaction journals for Kentucky Central Life Insurance and its subsidiaries.

EDUCATION

Georgetown College, B.A. 1971

Major: Accounting with a 3.5 GPA

Minor: Mathematics with a 3.3 GPA

AWARDS/COMMUNITY SERVICE

I am very active member of the Nicholasville United Methodist Church where I have served as church treasurer, Sunday School superintendent, trustee, including chair, and member of the finance and scholarship committees.

I have a keen interest in beef cattle and am past president of the Jessamine County Beef Cattle Association. I am a graduate of the Kentucky Cattlemen's Association Leadership Program also Masters of Beef Advocacy Program.

I have served as superintendent of the beef cattle for the Jessamine County Fair, vice president of the Jessamine County adult 4-H council. I am presently Vice President of the Jessamine County Young Farmers Association and Secretary of the Bluegrass Region Kentucky Young Farmers Association.

I was a member of Math Honorary and Alpha Beta Pi during my college career.



Criminal Identification and Records Branch

Criminal Records Dissemination Section

1266 Louisville Road

Frankfort, KY 40601

Phone (502) 227-8700 or via Web @ www.kentuckystatepolice.org

Requesting Agency:

KENTUCKY RETIREMENT SYSTEMS
1260 LOUISVILLE ROAD
FRANKFORT, KY 40601

Report Date: May 17, 2013

Subject of Criminal Record Check:

Name: MARSHALL, DOUGLAS VAUGHAN

Search Type: EMPLOYMENT

Criminal History Search Results:

A Criminal History record was NOT found on the above individual.

Sex Offender Registry Search Results:

The above individual is not currently listed on the Dru Sjodin National Sex Offender Public Website.

The Kentucky State Police Criminal Records Section has conducted a search of the Kentucky Computerized Criminal History (CCH) Repository on the individual listed above. The Repository does not necessarily contain all arrest data, but only that which has been reported to the Kentucky CCH and is available for the dissemination under state and federal law.

Certified By:

Monica Hardin